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10/723,949	11/26/2003	Stephen Gold	100204298-1	9416	
7590 04/05/2007 HEWLETT-PACKARD COMPANY Intellectual Property Administration			EXAMINER		
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SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVER	DELIVERY MODE	
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Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)				
	10/723,949	GOLD ET AL.				
Office Action Summary	Examiner	Art Unit				
	Thanh-Ha Dang	2163				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A-SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA- Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period was Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 04 Ja	1) Responsive to communication(s) filed on <u>04 January 2007</u> .					
2a)⊠ This action is FINAL . 2b)☐ This	This action is FINAL . 2b) This action is non-final.					
	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
 4) Claim(s) 1-41 is/are pending in the application. 4a) Of the above claim(s) is/are withdray 5) Claim(s) is/are allowed. 6) Claim(s) 1-41 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or 	vn from consideration.					
Application Papers						
 9) The specification is objected to by the Examine 10) The drawing(s) filed on 26 November 2003 is/an Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine 	re: a)⊠ accepted or b)⊡ object drawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119						
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 						
Attachment(s)						
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>01/04/07</u>. 	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ate				

DETAILED ACTION

1. Claims 1-30 and new claims 31-41 are rejected in this Office Action.

2. This Action is made Final.

Response to Amendment

3. Receipt of Applicant's amendment filed 01/04/07 is acknowledged.

4. Applicant's remarks filed 01/04/07 does not overcome the 35 USC101 Claim Rejections that were given in the Office Action dated 10/04/06. Examiner hereby maintains the 35 USC101 rejection.

Claim Rejections - 35 USC § 101

5. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claim 19 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

MPEP 2106 IV. B.2. (b):

A claim that requires one or more acts to be performed defines a process. However, not all processes are statutory under 35 U.S.C. 101. Schrader, 22 F.3d at 296, 30 USPQ2d at 1460. To be statutory, a claimed computer-related process must either: (A) result in a physical transformation outside the computer for which a practical application in the technological arts is either disclosed in the

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specification or would have been known to a skilled artisan, or (B) be limited to a practical application within the technological arts.

Claims 19-22 in view of the above cited MPEP section is not statutory because Claim 19 recites an article of manufacture comprising "a processorusable medium", which is not limited to tangible embodiments. In view of Applicant's disclosure, specification page 15 [0063] wherein "exemplary processor-usable media may include any one of physical media such as ... infrared ...", the medium is not limited to tangible embodiments, instead being defined as including both tangible embodiments (e.g., floppy diskette, memory, etc.) and intangible embodiments (e.g., infrared, data signal, carrier wave, etc.). As such, the claim is not limited to statutory subject matter and is therefore non-statutory. Further, Claim 19 recites an article of manufacture comprising processor-usable medium comprising processor-usable code configured to cause processing circuitry to "receive ...; effect ...; receive ...; access ...; and initiate storage ... status" that merely describes a number of computing steps. The cited steps concluded with "initiate storage of the delta version ... status" wherein "initiate storage of the delta version ..." shows that store of the delta version has not been occurred yet, or may not actually or definitely occurred; and therefore fails to produce useful, concrete, and tangible results. Therefore, the claim is not limited to statutory subject matter under 35 USC101. Claims 20-22 are dependent of Claim 19, and therefore are also rejected.

Claim Rejections - 35 USC § 112

6. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

New added Claim 40 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter, which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Claim 40 recites "... to provide *reduced delays* ..." that are not described in the Specification.

Claim Rejections - 35 USC § 112

7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

Claim 41 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 41 recites "... the receiving the request ... the storing the delta version ..." that fail to point out and distinctly claim the subject matter.

Claim Rejections - 35 USC § 102

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-35 and 40 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent No. 5,870,765 issued to Bauer et al. (Bauer).

As to Claims 1, 11, 15, 19 and 23, Bauer teaches a data management system comprising:

- a plurality of storage devices (Figure 1, block12 and block22a-x-z) individually comprising a physical storage space (Figure 1, wherein block12a-x-z illustrate physical storage space), wherein the physical storage space of one of the storage devices is configured to store a baseline version of a data object (Figures 1 and 3, wherein server central database (block10) has storage device (block12) which store before-image which is equivalent to a baseline version data object, column 2, lines 15-17) and the physical storage space of an other of the storage devices is configured to store a delta version of the data object (Figures 1-2, wherein clients (block20a-x-z) with local databases (22a-x-z) store delta version which is modifications detected by comparing the client data with a before-image of the client data, column 8, lines 3-20); and
- processing circuitry configured to control storage operations of at least one of the storage devices (Figure 1 wherein block11 corresponds to the processing

circuitry, column 8, line 24), to process a restore request with respect to the data object (column 9, lines 34-39 wherein the synchronization process read on the restore request process limitation recited in column 1, lines 50-51), to access the delta version from the other of the storage devices responsive to the restore request (column 7, lines 45-46 wherein modifications are propagated via the synchronizer), and to initiate communication of data of the baseline version and the delta version of the data object to a computer system (Figure 1 wherein label15 and label25a-x-z illustrate initiating communication of data to a computer system, column 6, lines 51-55).

As to Claims 2, 17, 20 and 24, Bauer teaches wherein the processing circuitry is configured to combine the delta version with the baseline version to provide a restored version of the data object (Figure 5A, wherein block115 and 120 illustrate the steps to combine the delta version with the baseline version to provide a restored version of the data object illustrated in block125, column 9, lines 34-65 and column 10, lines 23-31), and to control the communication of the restored version of the data object to the computer system (Figures 6A-B, column 11, lines 29-42).

As to Claims 3, 14 and 21, Bauer teaches wherein a client agent (Figure 2, block27 wherein the synchronizer represents the client agent) of the computer system is configured to combine the delta version (Figure 2 wherein block22x-X illustrates the delta version) with the baseline version (Figure 2 wherein block62x-X illustrates the baseline version which is represented by before-image

log) to provide a restored version of the data object (Figure 2 wherein the synchronizer provides in block60x-X the restored version of the data object which is represented by the replicated table, column 8, lines 3-20).

As to Claim 4 and 25, Bauer teaches wherein the processing circuitry (Figure 3, block11) comprises processing circuitry of the one of the storage devices which stores the baseline version of the data object (Figure 1, label21a-x-z, column 6, lines 18-24 wherein the central database (block12) stores the baseline version of the data object (block12a-z)).

As to Claims 5, 13 and 29, Bauer teaches The system of claim 4 wherein the one of the storage devices is configured to receive the delta version from the computer system (Figure 1 via label15 and label25a-x-z show bi-directional connection among computer system to receive the modification/update that correspond to a delta version, column 2, lines 51-53), and the processing circuitry is configured to forward the delta version to the other of the storage devices (Figure 6A wherein block310-340 forward the delta version to the other storage devices, column 11, lines 49-55).

As to Claims 6, 18, 22 and 30, Bauer teaches The system of claim 5 wherein the processing circuitry is configured to forward the delta version to the other of the storage devices responsive to a status of capacity of the one of the storage devices (column 1, lines 52-54 wherein the updates (which correspond to the delta version) performed by either client or server are propagated to the other

side when a connection is established that read on forwarding the delta version by the processing circuitry).

As to Claims 7, 16 and 27, Bauer teaches further comprising a database (Figure 1, block12 and block22a-x-z) configured to store information regarding storage operations of individual ones of the storage devices, and wherein the processing circuitry (Figure 1, block11 and block21a-x-z) is configured to access the database to obtain a location of the delta version of the data object on the other storage device responsive to the restore request (column 6, lines 10-11 and lines 18-19).

As to Claims 8 and 26, Bauer teaches wherein the processing circuitry comprises processing circuitry of a client agent associated with the computer system (column 1, lines 64-66 wherein the database synchronizer is equivalent to a client agent).

As to Claim 9, Bauer teaches further comprising a local area network configured to communicate the delta version intermediate the one and the other storage devices (Figure 1 wherein each client node represents a local area network, column 6, lines 16-24; wherein the bi-directional connection among network5, server10 and client20a-x-z illustrate local area network configured to communicate the delta version intermediate the one and the other storage devices).

As to Claims 10 and 28, Bauer teaches further comprising a storage area network configured to communicate the delta version intermediate the one and

the other storage devices (Figures 1-2, wherein block20a-x-z contain block22a-x-z which are bi-directionally connected through the network5 that constitutes the storage area network, column 6, line 53).

As to Claim 12, Bauer teaches wherein the processing means of the other of the storage subsystem means comprises means for uncompressing data of the delta version, and for initiating communication of the uncompressed data of the delta version to the one of the storage subsystem means (Figure 1 wherein label15 and label25a-x-z illustrate initiating communication of data to a computer system, column 6, lines 51-55).

As to Claim 31, Bauer teaches wherein the computer system is a host device external of the data management system (Figure 1 displays computer system e.g. block10, block20a that is a host device external of the data management system).

As to Claim 32, Bauer teaches wherein the computer system is a host device external of the data management system and the computer system is configured to execute an application program to generate the baseline and delta versions of the data object (Figure 1, block17 wherein the synchronizer represents the application program to generate the baseline and delta versions of the data object, column 2, lines 5-21).

As to Claim 33, Bauer teaches wherein the data object comprises a data file (Figure 1, label12a-x-z represent data files; column 3, line 50).

As to Claim 34, Bauer teaches wherein the data object comprises a data file and the delta version of the data file only comprises changes made to the baseline version of the data file (column 3, lines 38-41 wherein the modification, determined by a difference comparison between the current value in the active table and the before value in the before image table, corresponds to the delta version of the data file and the before image table corresponds to the baseline version that constitute the data object).

As to Claim 35, Bauer teaches wherein the delta version of the data file does not include content of the data file which is unchanged with respect to the baseline version of the data file (column 2, lines 51-53 wherein the modification corresponds to the delta version of the data file excluding content of the data file which is unchanged with respect to the baseline version of the data file).

As to Claim 40, Bauer teaches wherein the processor-usable medium comprises processor usable-code configured to cause processing circuitry of the one of the storage devices to initiate the storage of the delta version using the other of the storage devices to provide reduced delays with respect to storage of the delta version compared with storage of the delta version using the one of the storage devices (column 1, lines 50-57 wherein the role of the database synchronizer reduced delays).

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Claim Rejections - 35 USC § 103

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been

obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the

invention was made.

This application currently names joint inventors. In considering

patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that

the subject matter of the various claims was commonly owned at the time any

inventions covered therein were made absent any evidence to the contrary.

Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor

and invention dates of each claim that was not commonly owned at the time a

later invention was made in order for the examiner to consider the applicability of

35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35

U.S.C. 103(a).

Claims 36-39 and 41 are rejected under 35 U.S.C. 103(a) as being

unpatentable over U.S. Patent No. 5,870,765 issued to Bauer et al. (Bauer) as

applied to claims 15, 19 and 23 above respectively, and further in view of Pub.

No. US2004/0078602 issued to Rothbarth et al. ("Rothbarth").

As to Claim 36:

Bauer teaches all the elements of Claim 15 as stated above.

Bauer does not explicitly teach wherein the processing circuitry is configured to obtain the information regarding the capacity of the storage device responsive to the request.

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Rothbarth teaches wherein the processing circuitry is configured to obtain the information regarding the capacity of the storage device responsive to the request (Figure 4, block414-418, page 5 [0046, lines 10-12] wherein information regarding storage space availability is equivalent to information regarding the capacity of the storage device responsive to the request). Thus, it would have been obvious to a person of ordinary skill in the art at the time of the invention to combine method for sharing storage space teaching of Rothbarth with database synchronizer teaching of Bauer in order to provide method and system, which provide capacity of storage device.

As to Claim 37:

Bauer teaches all the elements of Claim 19 as stated above.

Bauer does not explicitly teach wherein the processor-usable medium comprises processor usable-code configured to cause processing circuitry of the one of the storage devices to access the information regarding the status comprising capacity information of the one of the storage devices responsive to receiving the request to store the delta version, and wherein the initiation of the storage of the delta version responsive to analysis of the capacity information.

Rothbarth teaches wherein the processor-usable medium comprises processor usable-code configured to cause processing circuitry of the one of the storage devices to access the information regarding the status comprising capacity information of the one of the storage devices responsive to receiving the request to store the delta version, and wherein the initiation of the storage of the delta version responsive to analysis of the capacity information (page 6 [0050, lines 41-49). Thus, it would have been obvious to a person of ordinary skill in the art at the time of the invention to combine method for sharing storage space teaching of Rothbarth with database synchronizer teaching of Bauer in order to provide method and system, which determine capacity of storage device.

As to Claim 38:

Bauer teaches all the elements of Claim 19 as stated above.

Bauer does not explicitly teach wherein the code to initiate the storage of the delta version using the other of the storage devices responsive to the information indicating that the one of the storage devices has insufficient capacity to store the delta version.

Rothbarth teaches wherein the code to initiate the storage of the delta version using the other of the storage devices responsive to the information indicating that the one of the storage devices has insufficient capacity to store the delta version (Figure 4, block414-418, page 6 [0050, lines 41-49). Thus, it would have been obvious to a person of ordinary skill in the art at the time of the

invention to combine method for sharing storage space teaching of Rothbarth with database synchronizer teaching of Bauer in order to provide method and system, which determine capacity of storage device.

As to Claim 39:

Bauer teaches all the elements of Claim 19 as stated above.

Bauer does not explicitly teach wherein the processor-usable medium comprises processor usable-code configured to cause processing circuitry of the one of the storage devices to initiate the storage of the delta version using the other of the storage devices to provide increased storage capacity of the data management system.

Rothbarth teaches wherein the processor-usable medium comprises processor usable-code configured to cause processing circuitry of the one of the storage devices to initiate the storage of the delta version using the other of the storage devices to provide increased storage capacity of the data management system (Figure 4 wherein block409 initiates request for storing data, wherein block414 checks available storage capacity, wherein block416 designates the storage device, page 5 [0046, lines 19-21). Thus, it would have been obvious to a person of ordinary skill in the art at the time of the invention to combine method for sharing storage space teaching of Rothbarth with database synchronizer teaching of Bauer in order to provide method and system, which verify storage device capacity and determine the storage device to store the data.

As to Claim 41:

Bauer teaches all the elements of Claim 23 as stated above.

Bauer does not explicitly teach wherein the analyzing is responsive to the receiving the request to store the delta version and wherein the storing the delta version using the other of the storage devices is responsive to the analyzing indicating an insufficient capacity of the one of the storage devices to store the delta version.

Rothbarth teaches wherein the analyzing is responsive to the receiving the request to store the delta version and wherein the storing the delta version using the other of the storage devices is responsive to the analyzing indicating an insufficient capacity of the one of the storage devices to store the delta version (Figure 4, block414-418, page 6 [0051, lines 25-29] which determine storage space availability that is equivalent to analyzing information regarding the capacity of the storage device responsive to the request). Thus, it would have been obvious to a person of ordinary skill in the art at the time of the invention to combine method for sharing storage space teaching of Rothbarth with database synchronizer teaching of Bauer in order to provide method and system, which provide capacity of storage device.

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Citation of Pertinent Prior Art

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

- Armangau et al. (Pub. No. US2005/0015663), "Data Recovery With Internet Protocol Replication With Or Without Full Resync".
- Masaharu Tamatsu (US Patent No. 6,934,877), "Data Backup/Recovery System".
- Mark Squibb (US Patent No. 5,479,654), "Apparatus And Method For Reconstructing A File From A Difference Signature And An Original File".
- Goshey et al. (US Patent No. 6,934,722), "Method Of Finding Application Components In An Intelligent Backup and Restoring System".
- Stakutis et al. (Pub. No. US2006/0075004), "Method, System, And Program For Replicating A File".
- Balcha et al. (US Patent No. 6,233,589), "Method and System For Reflecting Differences Between Two Files".
- Christian Korn (US Patent No. 6,542,906), "Method Of And An Apparatus For Merging A Sequence Of Delta Files".

Response to Arguments

11. Applicant's arguments filed on 01/04/07 have been fully considered but they are not persuasive. Examiner respectfully maintains the cited rejection for the following reasons:

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Applicant argues: On paragraph 3 of page 12 referring to independent claim 1
 (similar claims 11, 15, 19 and 23), Applicant argues that "the teachings relied
 upon by the Office are void of teachings regarding a baseline version or a delta
 version of a data object or storage of the baseline version and delta version of
 the data object in physical storage spaces of one and another of the storage
 devices as claimed".

Examiner responds: Examiner is not persuaded. Bauer teaches in Figure 1 wherein the central database (block12) that store before-image described in column 3, lines 37-38 that is equivalent to a baseline version data object; wherein block22a-x-z that are local databases containing modifications which are equivalent to delta versions described in column 3, lines 38-41. Bauer's teaching of Figures 2-3 in conjunction with Figures 5A-B—6A-B further details the synchronizer17/27 function and process that updates/synchronizes the central database and all of the other local databases by propagating the modification via the bi-directional network connection that is described in column 1, lines 50-54 to the appropriate databases through comparison of the before- and current- image. Therefore, Bauer's teaching read on claim 1 (similar claims 11, 15, 19 and 23) baseline version and delta version of a data object and the physical storage space of another of the storage devices.

 Applicant argues: On paragraph 4 of page 13, Applicant argues that "the Office has failed to identify any teaching that the databases of Bauer teach or suggest Application/Control Number: 10/723,949 Page 18

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the limitations regarding the data object, including the baseline and delta versions of the data object. Applicants respectfully submit that a database may not be fairly interpreted to teach or suggest a "data object" which may be a word processing document or email in illustrative examples of paragraph 0029 of the originally filed specification".

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., data object which may be a word processing document or email) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Conclusion

Applicant's added new claims necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thanh-Ha Dang whose telephone number is 571-272-4033. The examiner can normally be reached on Monday-Friday from 9:00 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Don Wong can be reached on 571-272-1834. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Thanh-Ha Dang Examiner Art Unit 2163

ALFORD KINDRED PRIMARY EXAMINER

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